



NAME ONITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Yun-woo NAM, et al.

Art Unit:

1765

Serial No.

10/669,360

Examiner:

Filed:

September 25, 2003

Confirmation No.

3357

For:

FLEXIBLE MEMS TRANSDUCER MANUFACTURING METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 (or PTO-1449, *modified*) is a listing of a document(s) known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56.

☐ A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. [Box checked if applicable.]

A copy of each listed non-U.S. patent and/or patent publication and cited literature is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. Cited U.S. patents and patent publications are *not* attached in accordance with the waiver set forth in the Notice by the Deputy Commissioner for Patent Examination Policy dated July 11, 2003, applicable to applications having a U.S. filing date *after* June 30, 2003. [Box checked if applicable.]

* * *

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

Attorney Docket No. 277/017 Page 2 of 3

Serial No. 10/669,360

Information Disclosure Statement under 37 C.F.R. §1.56

Where available, copies of English language patent family members of non-English patent documents have been provided. Such submission of English language patent family members is for the convenience of the Office and is not to be construed as an indication that such English language patent family members are literal translations of the non-English patents. Applicant(s) expressly reserve their right to file verified English translations of the non-English patent documents.

 \square The listed document(s) is/are being submitted in compliance with 37 C.F.R. 1.97(b)(3): submission before the mailing date of the first action on the merits. [Box checked if applicable.] \square The foregoing document(s) came to applicant's attention during the prosecution of

their corresponding European application. \(\overline{\pi} \) A copy of the EP Search Report is also attached.

[Box(es) checked if applicable.]

CERTIFICATION

 \square The undersigned hereby certifies in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. [Box checked if applicable.]

Applicant respectfully requests that the listed document(s) be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE PAYMENT

The fee mandated by 37 C.F.R. §1.17(p) is believed applicable. [Box checked if applicable.] Attached is Form PTO-2038 in payment of this fee (\$180.00). [Box checked if applicable.] This fee may be charged to Deposit Account No. 50-1645. (A duplicate copy of this paper is enclosed.) [Box checked if applicable.]

Respectfully submitted,

August 10, 2005

Date

Registration No. 32.039

LEE & MORSE, P.C. 1101 WILSON BOULEVARD, SUITE 2000 ARLINGTON, VA 22209 703.525.0978 TEL 703.525.4265 FAX

DEPOSIT ACCOUNT CHARGE AUTHORIZATION

If fee payment is enclosed, this amount is believed to be correct. However, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-1645.

Any additional fee(s) necessary to effect the proper and timely filing of the above-paper may also be charged to Deposit Account No. <u>50-1645</u>.

PTO/SB/08A (04-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet 1

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/669,360		
Filing Date	September 25, 2003		
First Named Inventor	Yun-woo NAM, et al.		
Art Unit	1765		
Examiner Name			
Attorney Docket Number	277/017		

	T 011			DOCUMENTS	Danie California Linea Milana
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 5,789,264	08-04-1998	CHUNG	
	2	^{US-} 6,289,564 B1	09-18-2001	NOVOTNY	
_	3	^{US-} 6,359,374 B2	03-19-2002	DAUSCH, et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	,
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. The indication of the document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Under the Paperwork Redu persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/669,360 Filing Date INFORMATION DISCLOSURE September 25, 2003 STATEMENT BY APPLICANT **First Named Inventor** Yun-woo NAM, et al. Art Unit 1765 (Use as many sheets as necessary) **Examiner Name**

Attorney Docket Number

277/017

Sheet

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of		
	4	FRENCH, et al., "Epi-micromachining", MICROELECTRONICS JOURNAL,		
		MACKINTOSH PUBLICATIONS LTD., Luton, GB, Vol. 28, No. 4, pp. 449-464 (5/1997)		
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